

SIMPLE BACKGROUND INFORMATION

The information you provide in this section provides us with important objective information about you, your age, marital status, where you live, and how best to communicate with you. This section will ensure your names are spelled correctly in your documents.

Client 1 Information

Full Legal Name: _____
(Name most often used to title property and accounts)

Also known as: _____ Prefer to be called: _____
(Other names used to title property and accounts)

Birth date: _____ Age: _____

Home Address: _____

City: _____ State: _____ Zip: _____ County of Residence: _____

Business Telephone: _____ Cell Phone: _____

Employer: _____ Position: _____

E-mail Address: _____ Yes it is okay to communicate with me via my E-mail address.

Marital Status: Never Married Married Widowed Divorced: If yes date _____

US Citizen: Yes No

Are either of your parents still living? Yes No Are either of your grandparents still living? Yes No

Client 2 Information

Full Legal Name: _____
(Name most often used to title property and accounts)

Also known as: _____ Prefer to be called: _____
(Other names used to title property and accounts)

Birth date: _____ Age: _____

Home Address: _____

City: _____ State: _____ Zip: _____ County of Residence: _____

Business Telephone: _____ Cell Phone: _____

Employer: _____ Position: _____

E-mail Address: _____ Yes it is okay to communicate with me via my E-mail address.

Marital Status: Never Married Married Widowed Divorced: If yes date _____

US Citizen: Yes No

Are either of your parents still living? Yes No Are either of your grandparents still living? Yes No

PEOPLE WHO ADVISE YOU

Your various advisors play a key role in the establishment of your estate plan. By way of example, your financial advisor and life insurance agent may need to be contacted to confirm and/or change beneficiary designations and titling of accounts. Your accountant may need to be consulted relative to income tax matters.

	Name	Telephone
Tax Advisor (CPA, EA, etc.)		
Financial Advisor		

POTENTIAL "INDIVIDUAL BENEFICIARIES"

Identify all potential individual beneficiaries of your estate (e.g. children and grandchildren). Also identify other individuals who you may wish to be a beneficiary of your estate. Please use full legal names. Note: Listing a person in this section is not a firm indication of your decision to provide for a particular individual. Rather, it is simply a means of identifying individuals for discussion purposes. (Insert additional sheets, if necessary).

Beneficiary 1 Relationship to Client: _____ Special Needs: Medical Educational Financial
Full Legal Name: _____ DOB: _____
Home Address: _____
City: _____ State: _____ Zip: _____ Phone: _____
Marital Status: Married Divorced Widowed Single
Spouse's Name: _____ Date married: _____
Children
(name and age) _____

Beneficiary 2 Relationship to Client: _____ Special Needs: Medical Educational Financial
Full Legal Name: _____ DOB: _____
Home Address: _____
City: _____ State: _____ Zip: _____ Phone: _____
Marital Status: Married Divorced Widowed Single
Spouse's Name: _____ Date married: _____
Children
(name and age) _____

Beneficiary 3 Relationship to Client: _____ Special Needs: Medical Educational Financial
Full Legal Name: _____ DOB: _____
Home Address: _____
City: _____ State: _____ Zip: _____ Phone: _____
Marital Status: Married Divorced Widowed Single
Spouse's Name: _____ Date married: _____
Children
(name and age) _____

Beneficiary 4 Relationship to Client: _____ Special Needs: Medical Educational Financial
Full Legal Name: _____ DOB: _____
Home Address: _____
City: _____ State: _____ Zip: _____ Phone: _____
Marital Status: Married Divorced Widowed Single
Spouse's Name: _____ Date married: _____
Children
(name and age) _____

Beneficiary 5 Relationship to Client: _____ Special Needs: Medical Educational Financial
Full Legal Name: _____ DOB: _____
Home Address: _____
City: _____ State: _____ Zip: _____ Phone: _____
Marital Status: Married Divorced Widowed Single
Spouse's Name: _____ Date married: _____
Children
(name and age) _____

ASSET ASSESSMENT

Determining the ownership, value and character of your assets is important to your estate and legacy plan. The title "ownership" is important for tax and transfer matters. The "value" will be significant in determining potential tax liability. The "character" is relevant in assessing the manner by which the asset can transfer. (If necessary, approximate current total values).

Assets	Client 1		Client 2		Joint Ownership	
	# of Assets	Total Value (\$)	# of Assets	Total Value (\$)	# of Assets	Total Value (\$)
Cash Accounts (i.e. checking, savings, CD, Money Market)						
Investment Accounts (i.e. brokerage accounts)						
Bonds (not held in an investment account)						
Stocks (not held in an investment account)						
Company Stock Options						
Personal Effects (i.e. jewelry, household items, art, vehicles, boats, planes, RV's, other "toys", etc.)						
Retirement Plans (401k, IRAs, etc.)						
Pension Plans						
Life Insurance Policies (death value)						
Annuities						
Partnership & LLC Interests						
Corp. Business Interests (S-Corp or LLC)						
Sole Proprietorship Interests						
Oil, Gas, and Mineral Interests						
Monies Owed to You (promissory notes)						
Personal Residence						
Other South Carolina Real Property						
Other Out-of-State Real Property						
Other Assets						
Anticipated Inheritance, Gift, or Judgment						
TOTAL ASSET VALUE						

Liabilities	Client 1		Client 2		Joint	
	# of Liabilities	Total Value (\$)	# of Liabilities	Total Value (\$)	# of Liabilities	Total Value (\$)
Loans Payable						
Accounts Payable						
Real Estate Mortgages						
TOTAL LIABILITIES (\$\$\$)						

NET ESTATE (\$\$\$) Total Assets Minus Total Liabilities						
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COMBINED NET ESTATE (\$\$\$) (Client Net + Client 2 Net + Joint Net)						
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Additional Documentation

General Document Request. In some instances, it is necessary for us to review other documents before we can make planning recommendations. If possible, please bring with you to the initial interview the following documentation:

1. Copies of existing planning documents, including wills, trusts, powers of attorney, health care directives, etc.
2. Copies of all deeds to real estate owned by you.
3. Copies of the most recent statements evidencing your ownership of bank accounts, investment accounts, retirement accounts, and annuities.
4. Pre or Postnuptial Agreement (if applicable).
5. Long-term care policies (if any).
6. Divorce Decree or Property Settlement Agreement for divorce under which continued obligations exist.

Client 1

Date

Client 2

Date

**Congratulations on completing this questionnaire.
YOU ARE NOW ONE STEP CLOSER TO MAKING YOUR LEGACY MATTER.**